ATTACHMENT 4

Audit Services Branch Three-Year Audit Plan

Process / Project	Nature of Work	Year 2003	2004	2005	Total Hours Per Project	% of Total Hours per Year
York Region Rapid Transit						
York Region Rapid Transit	Advisory	140	140	140	420	5.8%
Total Hours Spent in Department					420	5.8%
Finance & IT & Treasury						
Capital Asset Management	Advisory	40	40	40	120	1.7%
Revenue - Letters of Credit	Audit	140	-	-	140	1.9%
Payroll	Audit	-	140	-	140	1.9%
A/P & Supplies & Services Review	Audit	-	-	175	175	2.4%
A/P Expenditures Controls Review	Advisory	105	105	-	210	2.9%
Treasury Operations	Audit	-	-	140	140	1.9%
Computer Leases	Audit	-	-	140	140	1.9%
Network Review	Audit	-	105	-	105	1.5%
Security Review	Audit	-	140	-	140	1.9%
Executive Information Systems	Audit	105	-	-	105	1.5%
PeopleSoft Financial Upgrade	Audit	175	-	-	175	2.4%
Windows XP	Audit	140	-	-	140	1.9%
Electronic Documents Management System	Advisory	35	35	35	105	1.5%
Disaster Recovery Planning Testing	Audit	105	-	-	105	1.5%
Other IT Projects	Audit	-	70	70	140	1.9%
Total Hours Spent in Department					1,940	26.9%
Community Services & Housing						
Organizational Effectiveness of York Housing Inc.	Advisory	70	70	-	140	1.9%
Cash Handling at York Housing Inc.	Audit	-	105	-	105	1.5%
Social Assistance - SDMT Reconciliation Process	Audit	105	-	-	105	1.5%
Social Assistance - Cheque Production	Audit	105	-	-	105	1.5%
Social Assistance - Eligibility File Review	Audit	-	-	140	140	1.9%
Domicilary & Emergency Housing	Audit	-	-	140	140	1.9%
Total Hours Spent in Department					735	10.2%
Health Services						
Emergency Management Services Operations	Audit	-	140	-	140	1.9%
Child & Family Health - HBHC Review	Audit	-	-	105	105	1.5%
Long Term Care - Accreditation Process	Advisory	70	70	-	140	1.9%
Total Hours Spent in Department					385	5.3%
Transportation & Works						
Transit - Ontario Coin	Audit	70	-	-	70	1.0%
Transit - Review of TTC Contract	Advisory	35	-	-	35	0.5%
Transit - TTC Contract Analysis	Audit	-	70	70	140	1.9%
Transit - Outsourced Services Review	Audit	-	210	-	210	2.9%
Roads - Traffic Signal Computer System	Advisory / Audit	70	70	-	140	1.9%
Roads - Fleet Services	Audit	140	-	-	140	1.9%
Water & Waste Water - Certificate of Approval	Compliance Audit	40	40	40	120	1.7%
Water & Waste Water - Legislative	Compliance Audit	-	-	175	175	2.4%
Water & Waste Water - Planning	Audit	-	140	-	140	1.9%
Solid Waste Management - Contracts	Advisory / Audit	70	140	-	210	2.9%
Project Specific Tendering Fairness & Process	Audit	140	140	140	420	5.8%
Infrastructure Design & Construction	Audit	140	-	140	280	3.9%
Cross Functional Tendering Accountabilities Reviev	Advisory	70	-	-	70	1.0%
Total Hours Spent in Department					2,150	29.9%
Corporate Services						
Courts Operations - North	Audit	-	-	140	140	1.9%
Courts Operations - South	Audit	-	-	140	140	1.9%
Lease Tracking	Audit	-	-	140	140	1.9%
Total Hours Spent in Department					420	5.8%
Chief Administrative Officer						
Outstanding Recommendations Follow-Up	Audit	50	50	50	150	2.1%
Performance Measures	Audit	-	140	-	140	1.9%
Management Requests	Various	240	240	240	720	10.0%
Total Hours Spent in Department					1,010	14.0%
	Total Hours per Year	2,400	2,400	2,400	7,200	100.0%
	Total Hours Available per Year	2,400	2,400	2,400		
	overage / (shortage)	-	-	-		